



Docket No.: 740756-2063

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of  
Shunpei YAMAZAKI, et al.

Application No.: 09/436,984

Filed: November 9, 1999

For: SEMICONDUCTOR DEVICE AND  
MANUFACTURING METHOD THEREOF

) Art Unit: 2823

) Confirmation: 7375

) Examiner: William D. Coleman

)

) Date: March 10, 2006

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§1.97 and 1.98.

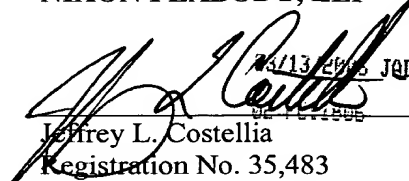
Pursuant to 37 C.F.R. §1.98, a copy of each of the documents cited is enclosed. However, copies of the listed U.S. patents and U.S. patent application publications are not enclosed since it is no longer required according to the July 11, 2003 waiver of the requirement for copies of cited U.S. patents and U.S. patent application publications in national patent applications filed after June 30, 2003 and international applications entering the national stage under 35 U.S.C. §371 after June 30, 2003.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge the required fee of \$180.00 to comply with the provisions of 37 C.F.R. § 1.97(c), or credit any overpayment to Deposit Account No. 19-2380.


Respectfully submitted,

**NIXON PEABODY, LLP**

  
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74/13/2006 JDD01 00000053 192380 09436984  
180.00 DA

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Substitute for form 1449A/PTO				<div style="text-align: center;">  </div>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<div style="text-align: right;"><i>Complete if Known</i></div>	
				Application Number	09/436,984
				Filing Date	November 9, 1999
				First Named Inventor	Shunpei YAMAZAKI, et al.
				Art Unit	2823
				Examiner Name	William D. Coleman
				Attorney Docket Number	740756-2063
Sheet	1	of	1		

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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.